

# Modeling and Automation of Industrial Processes

*Modelação e Automação de Processos Industriais / MAPI*

## **Supervised Control of Discrete Event Systems** *Supervision Controllers (Part 2/2)*

<http://www.isr.tecnico.ulisboa.pt/~jag/courses/mapi2122>

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Prof. José Gaspar, rev. 2021/2022

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## Some pointers on Supervised Control of DES

Analysers & <http://www.nd.edu/~isis/techreports/isis-2002-003.pdf> (Users Manual)  
simulators <http://www.nd.edu/~isis/techreports/spnbox/> (Software)

Bibliography: **Supervisory Control of Discrete Event Systems using Petri Nets**, J. Moody J. and P. Antsaklis, Kluwer Academic Publishers, 1998.

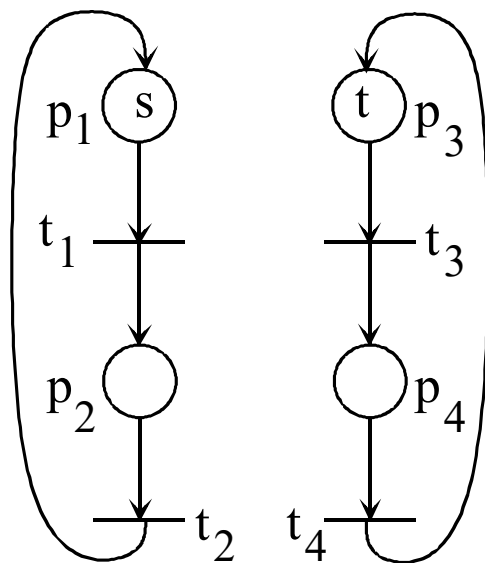
**Supervised Control of Concurrent Systems: A Petri Net Structural Approach**, M. Iordache and P. Antsaklis, Birkhauser 2006.

**Discrete Event Systems - Modeling and Performance Analysis**, Christos G. Cassandras, Aksen Associates, 1993.

**Feedback Control of Petri Nets Based on Place Invariants**, K. Yamalidou, J. Moody, M. Lemmon and P. Antsaklis,  
<http://www.nd.edu/~lemmon/isis-94-002.pdf>

# Methods of Synthesis

## Example of controller synthesis: s Producers / t Consumers



Incidence matrix

$$D_P = \begin{bmatrix} -1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix}$$

Initial marking

$$\mu_{P_0} = \begin{bmatrix} s \\ 0 \\ t \\ 0 \end{bmatrix}$$

Let  $p_2 = \# \text{machines working}$ ,  $t_2 = \text{product produced}$   
 $p_3 = \# \text{consumers}$ ,  $t_3 = \text{request to consume (e.g. transport product)}$

Q: How to write *consume only when produced*? What is the linear constraint?

**Not possible to write it** as a linear constraint on places  $L\mu_p \leq b$ .  
 Is it impossible to solve this problem with the supervised control?

## Methods of Synthesis      Generalized linear constraint

Let the generalized linear constraint be

$$\begin{aligned} L\mu_P + Fq_P + Cv_P &\leq b, \\ \mu_P \in N_0^n, v_P \in N_0^m, q_P \in N_0^m, \\ L \in Z^{n_C \times n}, F \in Z^{n_C \times m}, C \in Z^{n_C \times m}, e \quad b \in Z^{n_C}, \end{aligned}$$

where

- \*  $\mu_P$  is the **marking vector** for system P
- \*  $q_P$  is the **firing vector** since  $t_0$
- \*  $v_P$  is the **number of transitions** (firing) that can occur, also designated as Parikh vector

Example: detail elements forming the generalized linear constraint

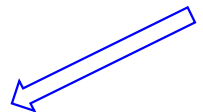
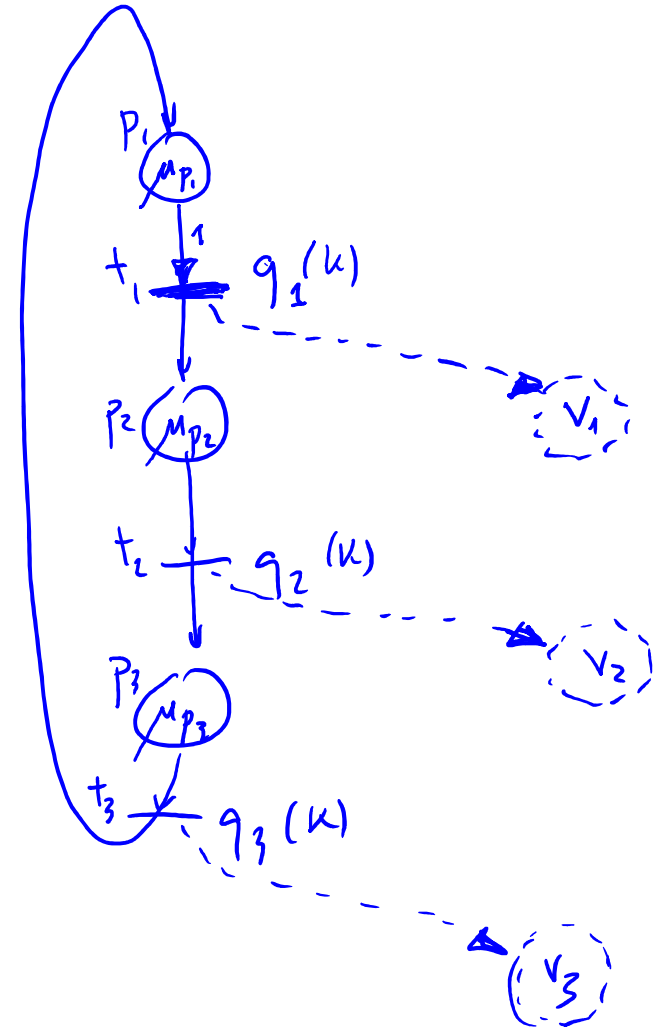
$$L\mu_P + Fq_P + Cv_P \leq b,$$

$$\begin{aligned} \mu_P &\in N_0^n, v_P \in N_0^m, q_P \in N_0^m, \\ L &\in Z^{n_C \times n}, F \in Z^{n_C \times m}, C \in Z^{n_C \times m}, \\ b &\in Z^{n_C}, \end{aligned}$$

State:  $\mu_p(k) = \begin{bmatrix} \mu_{p1} \\ \mu_{p2} \\ \mu_{p3} \end{bmatrix}_k$

Firing vector:  $q_p(k) = \begin{bmatrix} q_1 \\ q_2 \\ q_3 \end{bmatrix}_k$

Parikh vector:  $v_p(k) = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \end{bmatrix}_k$



## Methods of Synthesis

*Function LINENF of SPNBOX*

### **Theorem\*:** Synthesis of Controllers based on Place Invariants, for **Generalized Linear Constraints**

Given the generalized linear constraint  $L\mu_P + Fq_P + Cv_P \leq b$ ,  
if  $b - L\mu_{P_0} \geq 0$ , then the controller with incidence matrix  
and initial marking, respectively

$$D_C^- = \max(0, LD_P + C, F)$$

$$D_C^+ = \max(0, F - \max(0, LD_P + C)) - \min(0, LD_P + C),$$

$$\mu_{C_0} = b - L\mu_{P_0} - Cv_{P_0},$$

guarantees that constraints are verified for the states resulting from the initial marking.

\* In the next slides this will be called the *LINENF theorem*.

# Methods of Synthesis

## Example of controller synthesis

$$\forall s \in N_0, \forall t \in N_0, \forall n \in N_0$$

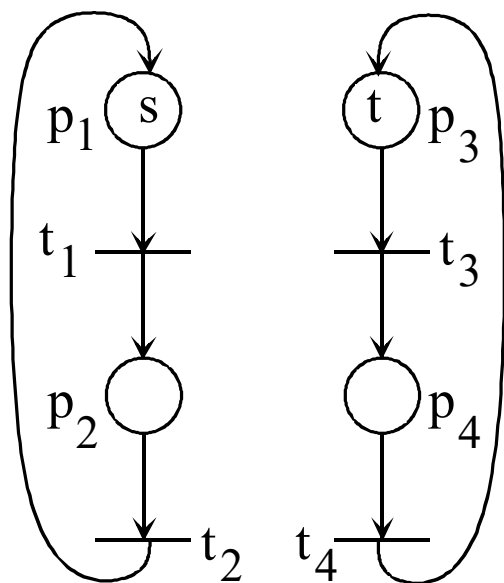
Producer / Consumer

Linear constraint:  $v_3 \leq v_2$

that can be written as:

$$Cv_P \leq b$$

$$L = 0, F = 0 \quad \begin{bmatrix} 0 & -1 & 1 & 0 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \leq 0.$$



Incidence matrix

$$D_P = \begin{bmatrix} -1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix}$$

Initial marking

$$\mu_{P_0} = \begin{bmatrix} s \\ 0 \\ t \\ 0 \end{bmatrix}.$$

## Methods of Synthesis

### Example of controller synthesis

#### Producer / Consumer

1) Test  $b - L\mu_{P_0} = 0 - 0 \geq 0.$

**OK.**

2) Compute

$$D_C^- = \max(0, LD_P + C, F)$$

$$D_C^+ = \max(0, F - \max(0, LD_P + C)) - \min(0, LD_P + C),$$

$$D_C^- = \max(0, [0 \ -1 \ 1 \ 0], 0) = [0 \ 0 \ 1 \ 0]$$

$$D_C^+ = \max(0, -[0 \ 0 \ 1 \ 0]) - \min(0, [0 \ -1 \ 1 \ 0])$$

$$= [0 \ 0 \ 0 \ 0] - [0 \ -1 \ 0 \ 0] = [0 \ 1 \ 0 \ 0]$$

and

$$\mu_{C_0} = b - L\mu_{P_0} - Cv_{P_0},$$

$$\mu_{C_0} = b - L\mu_{P_0} = 0 - 0 = 0.$$

**OK.**

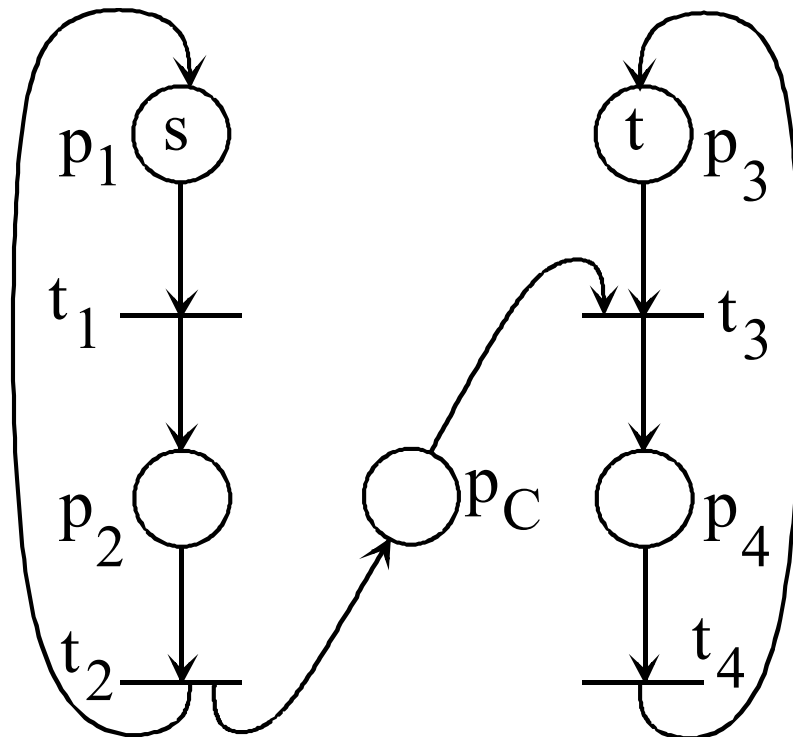


# Methods of Synthesis

## Example of controller synthesis

Producer / Consumer

3) Resulting in



$$D = \begin{bmatrix} -1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \\ \boxed{0 & 1 & -1 & 0} \end{bmatrix}$$

$$\mu_0 = \begin{bmatrix} s \\ 0 \\ t \\ 0 \\ \boxed{0} \end{bmatrix}$$

**OK.  
UAU!!!.**

## Methods of Synthesis

```
% The Petri net D=Dp-Dm, and m0
% (Dplus-Dminus= Post-Pre)
```

```
Dm= [1 0 0 0;
      0 1 0 0;
      0 0 1 0;
      0 0 0 1];
```

```
Dp= [0 1 0 0;
      1 0 0 0;
      0 0 0 1;
      0 0 1 0];
```

```
m0= [1 0 1 0]';
```

```
% Supervisor constraint
%
```

```
L= []; F= []; C= [0 -1 1 0];
b= 0;
```

```
% Computing the supervisor
%
```

```
[Dfm, Dfp, ms0] = linenf(Dm, Dp, L, b, m0, F, C)
Df= Dfp-Dfm
ms0
```

## Example of controller synthesis: Producer Consumer

Result using the function  
LINENF.m of the  
toolbox SPNBOX:

Df =

-1	1	0	0
1	-1	0	0
0	0	-1	1
0	0	1	-1
0	1	-1	0

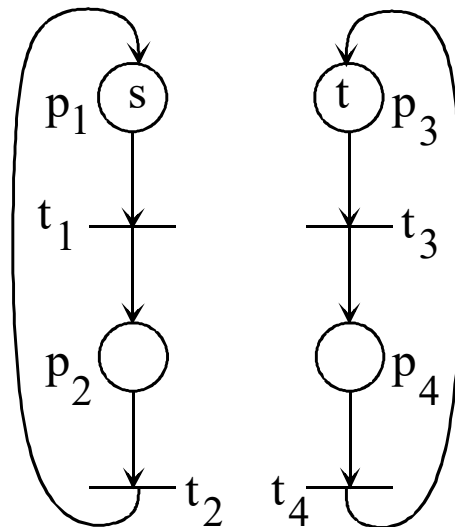
ms0 =

1
0
1
0
0

# Methods of Synthesis

## Example of controller synthesis

Bounded  
Producer /  
Consumer



Incidence  
matrix

$$D_P = \begin{bmatrix} -1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix}$$

Initial  
marking

$$\mu_{P_0} = \begin{bmatrix} s \\ 0 \\ t \\ 0 \end{bmatrix}$$

**TWO linear constraints:**

$$\begin{cases} v_3 \leq v_2 \\ v_2 \leq v_3 + n \end{cases} \Leftrightarrow \begin{cases} v_3 - v_2 \leq 0 \\ v_2 - v_3 \leq n \end{cases}$$

$$\forall s \in N_0, \forall t \in N_0, \forall n \in N_0$$

The two linear constraints  
can be written as:

$$Cv_P \leq b \quad \Leftrightarrow \begin{bmatrix} 0 & -1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \leq \begin{bmatrix} 0 \\ n \end{bmatrix}$$

*i.e. L = 0, F = 0*

## Methods of Synthesis

### Example of controller synthesis

#### Bounded Producer / Consumer

1) Test  $b - L\mu_{P_0} = b = \begin{bmatrix} 0 \\ n \end{bmatrix} \geq 0.$

**OK.**

2) Compute

$$D_C^- = \max\left(0, \begin{bmatrix} 0 & -1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix}, 0\right) = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix},$$

$$\begin{aligned} D_C^+ &= \max\left(0, 0 - \max\left(0, \begin{bmatrix} 0 & -1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix}\right)\right) - \min\left(0, \begin{bmatrix} 0 & -1 & 1 & 0 \\ 0 & 1 & -1 & 0 \end{bmatrix}\right) \\ &= \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} - \begin{bmatrix} 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}. \end{aligned}$$

and

$$\mu_{C_0} = b - L\mu_{P_0} = \begin{bmatrix} 0 \\ n \end{bmatrix}.$$

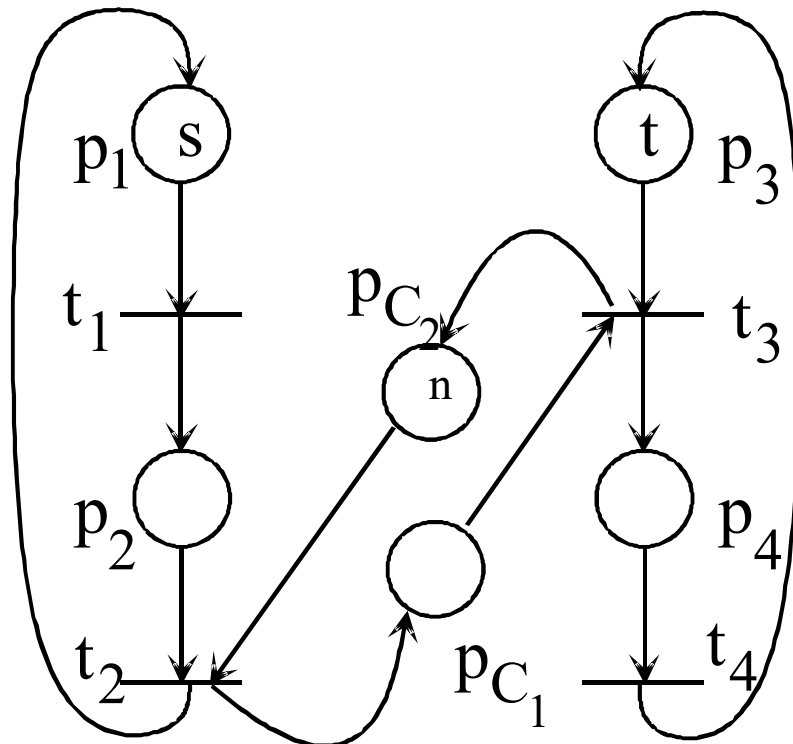
**OK.**

# Methods of Synthesis

## Example of controller synthesis

### Bounded Producer / Consumer

3) Resulting in



$$D = \begin{bmatrix} -1 & 1 & 0 & 0 \\ 1 & -1 & 0 & 0 \\ 0 & 0 & -1 & 1 \\ 0 & 0 & 1 & -1 \\ \boxed{0 & 1 & -1 & 0} \\ \boxed{0 & -1 & 1 & 0} \end{bmatrix}$$

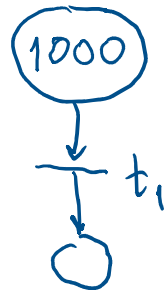
$$\mu_0 = \begin{bmatrix} s \\ 0 \\ t \\ 0 \\ \boxed{0} \\ n \end{bmatrix}$$

**OK.  
UAU!!!.**

## Methods of Synthesis

### Example of controller synthesis – *Flow regulation*

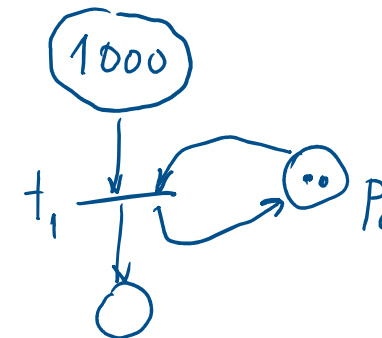
Consider a Petri net with a large initial marking



Objective: do ***NOT allow consuming too many tokens*** in a single step.

For example, one wants to enforce  $\max q_1$  to be 2, i.e. accepting only  $q_1 = 0$  or  $q_1 = 1$  or  $q_1 = 2$ .

Constraint	Solution
$1. q_1 \leq 2$	$D_c^+ = 1$
$\uparrow$	$D_c^- = 1$
F      b	$M_{c_0} = 2$



## Methods of Synthesis

### *Function LINENF of SPNBOX*

#### ***LINENF Lemma 1: From General Constraints to Theorem T1***

Given the generalized linear constraint  $L\mu_P + Fq_P + Cv_P \leq b$  and the conditions of the LINENF theorem:

If  $L \neq 0$ ,  $F = 0$ ,  $C = 0$

then  $D_C^+ = (LD_P)^-$ ,  $D_C^- = (LD_P)^+$  and  $D_C = -LD_P$

$$\mu_{C0} = b - L\mu_{P0}$$

*(see proof in the next page)*

Notation:

$$D^+ = \max(0, D)$$

$$D^- = -\min(0, D)$$

$$D = D^+ - D^-$$

$$D^+, D^- \in N_0^{n \times m} \text{ and } D \in Z_0^{n \times m}$$

$$D_c^- = \max(0, LD_p + C, F)$$

$$D_c^+ = \max(0, F - \max(0, LD_p + C)) - \min(0, LD_p + C)$$

$$\mu_{C_0} = b - L\mu_{P_0} - Cv_{P_0}$$

$$L \neq 0, F=0, C=0 \Rightarrow L\mu_p \leq b$$

$$\begin{aligned} D_c^- &= \max(0, LD_p + \overset{=0}{f}, \overset{=0}{f}) \\ &= \max(0, LD_p) \\ &= (LD_p)^+ \end{aligned}$$

$$\begin{aligned} D_c^+ &= \max(0, \overset{=0}{f} - \max(0, LD_p + \overset{=0}{f})) - \min(0, LD_p + \overset{=0}{f}) \\ &= \max(0, - (LD_p)^+) \oplus (LD_p)^- \\ &\quad \underbrace{\hspace{10em}}_{\leq 0} \\ &= + (LD_p)^- \end{aligned}$$

$$\begin{aligned} D^+ &= -\min(0, D) \\ D^- &\in \mathbb{N}_0^{\max} \end{aligned}$$

$$D_c = D_c^+ - D_c^- = (LD_p)^- - (LD_p)^+ = -((LD_p)^+ - (LD_p)^-) = -LD_p$$

$$\mu_{C_0} = b - L\mu_{P_0} - \overset{=0}{f} v_{P_0} = b - L\mu_{P_0}$$



## Methods of Synthesis

### *Function LINENF of SPNBOX*

### *LINENF Lemma 2: Firing Regulation*

Given the generalized linear constraint  $L\mu_P + Fq_P + Cv_P \leq b$  and the conditions of the LINENF theorem:

If  $L = 0$ ,  $F \neq 0$ ,  $C = 0$

then  $D_C^+ = F^+$ ,  $D_C^- = F^+$  and  $D_C = 0$

$$\mu_{C0} = b$$

*(homework, prove this lemma)*

## Methods of Synthesis

### *Function LINENF of SPNBOX*

### ***LINENF Lemma 3: Constraints on Counters***

Given the generalized linear constraint  $L\mu_P + Fq_P + Cv_P \leq b$  and the conditions of the LINENF theorem:

If  $L = 0, F = 0, \boxed{C \neq 0}$

then  $D_C^+ = C^-, D_C^- = C^+$  and  $\boxed{D_C = -C}$

$$\boxed{\mu_{C0} = b - Cv_{P0}}$$

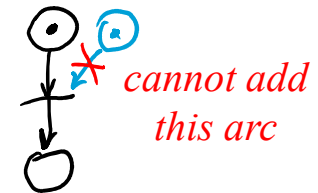
*(homework, prove this lemma)*

*(empty page, do yourself the proof of the last two lemmas)*

## Methods of Synthesis: intro to Uncontrollable and Unobservable transitions

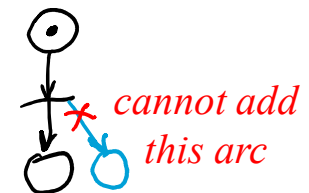
### Definition of Uncontrollable Transition:

A transition is uncontrollable if its firing **cannot be inhibited** by an external action (e.g. a supervisory controller).



### Definition of Unobservable Transition:

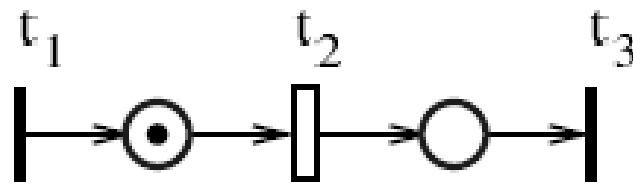
A transition is unobservable if its firing **cannot be detected or measured** (therefore the study of any supervisory controller can not depend from that firing).



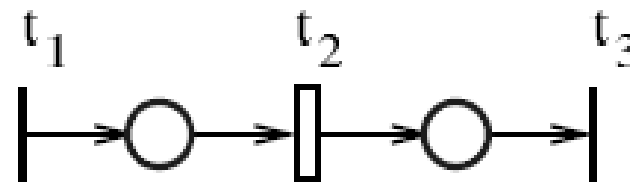
### Proposition:

In a Petri net based controller, both input and output arcs to/from plant transitions are used to trigger state changes in the controller. *Since a controller cannot have arcs connecting to unobservable transitions, then all **unobservable transitions are also implicitly uncontrollable.***

## Methods of Synthesis: intro to Uncontrollable and Unobservable transitions



(a)



(b)

If  **$t_1$  is controllable** and  **$t_2$  is uncontrollable**:

- case (a), then  $t_2$  cannot be directly inhibited; it will eventually fire
- case (b), then  **$t_2$  can be indirectly prevented** from firing by inhibiting  $t_1$ .

*i.e. may exist indirect solution despite  $t_2$  being uncontrollable.*

If  **$t_2$  is unobservable** and  **$t_3$  is observable**, then we cannot detect when  $t_2$  fires. The state of a supervisor is not changed by firing  $t_2$ . However we can **indirectly detect that  $t_2$  has fired**, by detecting the firing of  $t_3$ .

*i.e. may exist indirect solution despite  $t_2$  being unobservable.*

*∴ may exist indirect solution despite  $t_2$  uncontrollable and/or unobservable.*

## Methods of Synthesis

**Definition:** A marking  $\mu_P$  is admissible if

i)  $L\mu_P \leq b$  and ii)  $\forall \mu' \in R(C, \mu_P)$  verifies  $L\mu' \leq b$

**Definition:** A Linear Constraint  $(L, b)$  is admissible if

i)  $L\mu_{P_0} \leq b$  and

ii)  $\forall \mu' \in R(C, \mu_{P_0})$  such that  $L\mu' \leq b$

$\mu'$  is an admissible marking.

Note: ii) indicates that the firing of uncontrollable transitions can never lead from a state that satisfies the constraint to a new state that does not satisfy the constraint.

## Methods of Synthesis

### Proposition: Admissibility of a constraint

A linear constraint is admissible *iff*

- The initial markings satisfy the constraint.
- There **exists a controller** with maximal permissivity that forces the constraint and **does not inhibit any uncontrollable transition**.

**Two sufficient (not necessary) conditions:**

**Corollary:** given a system with uncontrollable transitions,

$$\boxed{l^T D_{uc} \leq 0} \text{ implies admissibility.}$$

**Corollary:** given a system with unobservable transitions,

$$\boxed{l^T D_{uo} = 0} \text{ implies admissibility.}$$

## Methods of Synthesis

*Function MRO\_ADM of SPNBOX*

### Lemma \*: Structure of Constraint transformation

If  $L'\mu_p \leq b'$  where

$$L' = R_1 + R_2L \quad \text{and} \quad b' = R_2(b + 1) - 1$$

$$R_1 \in Z^{n_c \times n} \quad \text{and} \quad R_1\mu_p \geq 0$$

$R_2 \in Z^{n_c \times n_c}$  is a matrix with positive elements in the diagonal

then  $L\mu_p \leq b$  is also verified.

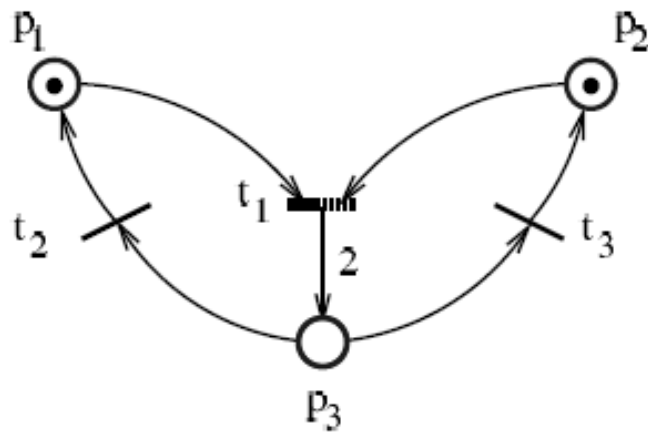
Typical usage:

- constraint  $(L, b)$ , and **extra constraints**  $\rightarrow (R_1, R_2) \rightarrow (L', b') \rightarrow (D_C^+, D_C^-, \mu_{C0})$
- see unobservable / uncontrollable extra constraints example in the next slides



## Methods of Synthesis

### Example: design controller with $t_1$ unobservable (1/4)



$$D = \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \\ 2 & -1 & -1 \end{bmatrix}, \quad D_{uo} = \begin{bmatrix} -1 \\ -1 \\ 2 \end{bmatrix}$$

Objectives:  $\mu_1 + \mu_3 \geq 1$  and  $\mu_2 + \mu_3 \geq 1$  which can be written in matrix form as

$$L\mu \leq b, \quad L = \begin{bmatrix} -1 & 0 & -1 \\ 0 & -1 & -1 \end{bmatrix}, \quad b = \begin{bmatrix} -1 \\ -1 \end{bmatrix}$$

# Methods of Synthesis

## Example: design controller with $t_1$ unobservable (2/4)

**% System and constraints**

```
D= [-1  1  0;
    -1  0  1;
    +2 -1 -1];
```

```
Dm= -D.*(D<0);
Dp=  D.*(D>0);
```

```
m0= [1 1 0]';
```

```
L= [-1 0 -1; 0 -1 -1];
b= [-1; -1];
```

**% Supervisor computation**

```
[Dfp, Dfm, mf0] =
  linenf( Dp, Dm, L, b, m0 );
```

Dfp =

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 2 & 0 & 0 \\ \boxed{1} & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$$

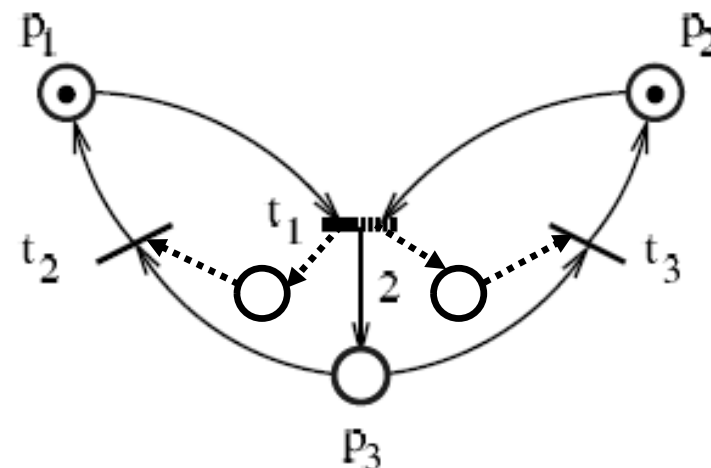
Dfm =

$$\begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

mf0 =

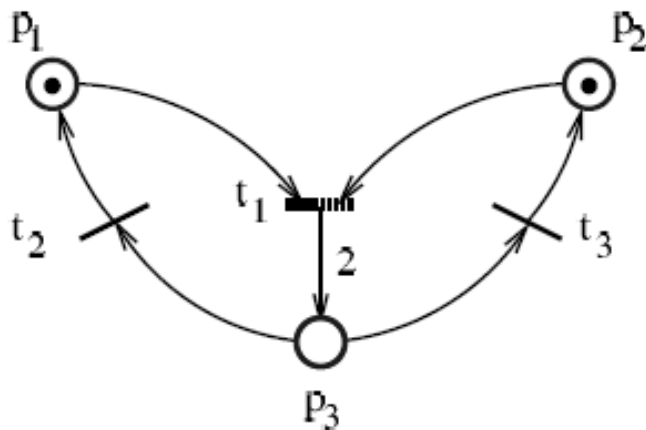
$$\begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

*^ Bad news, supervisor touches  $t_1$ .*



# Methods of Synthesis

## Example: design controller with $t_1$ unobservable (3/4)



$$D = \begin{bmatrix} -1 & 1 & 0; \\ -1 & 0 & 1; \\ 2 & -1 & -1 \end{bmatrix};$$

$$\mathbf{Tuo} = [1]; \quad \mathbf{Tuc} = [];$$

$$L = \begin{bmatrix} -1 & 0 & -1; \\ 0 & -1 & -1 \end{bmatrix};$$

$$b = [-1 \ -1]';$$

$$[L_a, b_a, R1, R2] = \mathbf{mro\_adm}(L, b, D, \mathbf{Tuc}, \mathbf{Tuo});$$

Solution obtained with the function `MRO_ADM.m` of the SPNBOX toolbox:

$$R1 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \end{bmatrix}$$

$$L_a = \begin{bmatrix} -2 & 0 & -1 \\ 0 & -2 & -1 \end{bmatrix} \quad b_a = \begin{bmatrix} -1 \\ -1 \end{bmatrix}$$

$$R2 = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$$

Note: verify that  $L_a \mu \leq b_a$  implies  $L \mu \leq b$

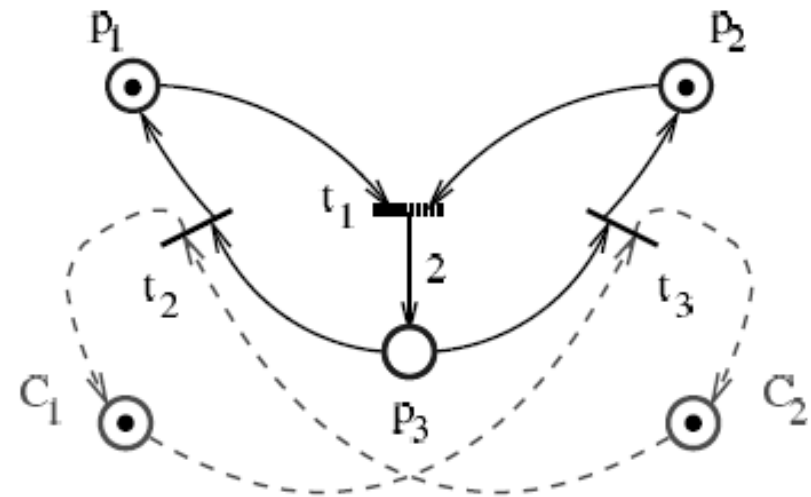
## Methods of Synthesis

### Example: design controller with $t_1$ unobservable (4/4)

Finally the supervised controller is simply obtained from  $L_a$  and  $b_a$ :

$$\begin{aligned}
 D_c &= -L_a D_p \\
 &= \begin{bmatrix} -2 & 0 & -1 \\ 0 & -2 & -1 \end{bmatrix} \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 1 \\ 2 & -1 & -1 \end{bmatrix} \\
 &= \begin{bmatrix} 0 & 1 & -1 \\ 0 & -1 & 1 \end{bmatrix}
 \end{aligned}$$

$$\begin{aligned}
 \mu_{c0} &= b_a - L_a \mu_{p0} \\
 &= \begin{bmatrix} -1 \\ -1 \end{bmatrix} - \begin{bmatrix} -2 & 0 & -1 \\ 0 & -2 & -1 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \\
 &= \begin{bmatrix} 1 \\ 1 \end{bmatrix}
 \end{aligned}$$



*Obtained the desired result:  
supervisor does not touch  $t_1$ .*

*End of chapter on supervision control.*

*What is next?*